

Jeffrey Rodriguez

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This Brochure Supplement provides information about Mr. Rodriguez that supplements the National Asset Management, Inc. Brochure. You should have received a copy of that Brochure. Please contact the Compliance Department at 561-981-1000 if you did not receive National Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about this financial advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Jeffrey Rodriguez, CRD # 4638012

Year of Birth: 1979

Education: Master's in Global Management, University of Phoenix, 2009.
Bachelor Degree in Public Accounting, Pontifical Catholic University of Puerto Rico, 2000.
Financial Planning Certificate, Kaplan University, 2010

Business experience (for past five years):

- ❖ Investment Advisory Representative, National Asset Management, (09/2019-Present)
- ❖ Registered Representative, National Securities Corp., (09/2009-Present)
- ❖ Registered Representative, IFS Securities, (04/2012-09/2019)
- ❖ Investment Advisor Representative, IFS Advisory LLC , (04/2012-09/2019)

Mr. Rodriguez is a CFP® professional. All CFP® professionals must pass a CFP-board registered program or hold one of seven advanced degrees, designations, or professional licenses and pass the CFP exam. Prerequisites include a bachelor's degree (or higher) from an accredited college or university and three full years of full-time personal financial planning experience. Thirty hours of continuing education must be completed every two years. Areas of study include insurance and estate planning, employee benefit and tax planning, as well as investment and retirement planning. CFP practitioners are also subject to strict adherence to the CFP Board's Financial Planning Practice Standards and Professional Code of Ethics and Responsibility.

Mr. Rodriguez is a Certified Investment Management Analyst (CIMA) professional. The CIMA certification signifies that an individual has met initial and on-going experience, ethical, education and examination requirements for investment management consulting, including advance investment management theory and application. Prerequisites for the CIMA certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA certification, candidates must pass an online qualification examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, and pass an online Certification Examination. CIMA designees are required to adhere to IMCA's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through the Investment Management Consultants Associations (IMCA). The CIMA certification has earned ANSI (American National Standards Institute) accreditation under the professional certification program.

Accredited Investment Fiduciary® (AIF®) The AIF designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF Code of Ethics. In order to maintain the AIF designation, the individual must annually renew their affirmation of the AIF Code of Ethics and complete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary360 (fi360) company).

Item 3 – Disciplinary Information

Mr. Rodriguez has no disciplinary history.

Item 4- Other Business Activities

Mr. Rodriguez uses the business name 360one, LLC. Mr. Rodriguez uses this business name for marketing and/or tax purposes but offers securities and investment advice through the registered broker-dealer or registered investment advisor described below. National Asset Management is not affiliated with 360one, LLC.

Mr. Rodriguez is a registered representative with National Securities Corporation (“NSC”), member FINRA/SIPC, as well as an investment advisory representative (“IAR”) of NAM. Registered representatives of NSC provide securities brokerage services for commissions, and receive a portion of the brokerage commissions paid to NSC. They may also receive a portion of any ongoing distribution of service (trail) fees from the sale of mutual funds or from variable annuity purchases. The dual registration presents an inherent conflict of interest and an incentive to recommend investment products based on the compensation received, rather than on a client’s needs. However, in accordance with fiduciary duties of an IAR and as a matter of firm procedure, IARs assist clients in making decisions regarding whether to establish a brokerage or an advisory account (and determine the types of transactions that will take place through each account if a client has both accounts) based on the clients’ goals, objectives, risk tolerance and other factors. Further, as a matter of procedure, NAM does not generally permit front-end or back-end load mutual funds in the advisory program, or any other class that has high trail fees. If such mutual funds are transferred into an advisory account, advisory fees will be suppressed if a front-end or back-end load has been charged or there are high trail fees. Clients have the option to purchase investment products through other broker-dealers or advisors.

Additional information about this financial advisor is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 5- Additional Compensation

There is no additional compensation to report for this financial advisor.

Item 6 - Supervision

NAM provides investment advisory and supervisory services in accordance with the NAM Policies and Procedures Manual. Brandon Carvalho has primary responsibility for supervising advisory activities in accordance with NAM’s Policies and Procedures Manual. Such oversight will include regular review of client communications and investment advice offered by this financial advisor. Records of all client trades placed by this financial advisor are archived and available for review. Brandon Carvalho may be contacted at 561-869-5170.